PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Upper the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control

						number.
"atgi	· 		Application Number	10/7	784,376	
TE	RANSMITTAL		Filing Date	Feb	ruary 23	, 2004
	FORM		First Named Inventor	Sun	dararaja	n et al.
	I OIKIN		Art Unit	217	6	
(to be used for	r all correspondence after initial	filing)	Examiner Name			
Total Number o	f Pages in This Submission	6	Attorney Docket Number	BE	AS-0139	1US1
	EN	CLOSUF	RES (Check all th	at app	oly)	
Preliminary Af Af Extension of Express Aba Information I (6) Cited Do Reply to Mis Incomplete	ssing Parts/	Peti Prov Cha Address Ten	ensing-related Papers ition ition to Convert to a visional Application wer of Attorney, Revocation ange of Correspondence minal Disclaimer quest for Refund , Number of CD(s)	CD	TC Appe of Al Appe (App Prop Statu	•
		l				
			PPLICANT, ATTORNE		R AGEN	T
Firm Name	Fliesler Meyer Ll	<u> P - Cu</u>	stomer Number 239	910		
Signature						
Printed Name	Joseph P. O'Mal	ley				
Date	March 21, 2005			F	Reg. No.	36,226
			E OF TRANSMISSION			
Service with suffi	hat this correspondence is icient postage as first class 2313-1450 on the date sho	mail in an	imile transmitted to the USPT envelope addressed to: Com	TO or commission	leposited woner for Pat	ith the United States Postal ents, P.O. Box 1450,
Signature	Ser	· h	rein			12
Typed or printed	Teri Muir		-		Date	March 21, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete application from the discourse of the way depending upon the individual case. Any comments on the amount of time you require to complete his form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application

Inventor(s): Sundararajan et al.

10/784,376 Appln. No.:

Confirm. No.: 8926

Filed: February 23, 2004 Title: SYSTEMS AND METHODS FOR

DECLARATIVELY TRANSFORMING DATA

OBJECTS BETWEEN DISPARATE

PRESENTATIONS

PATENT APPLICATION

Art Unit: 2176

Examiner:

(Attorney Signature)

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 21, 2005.

Teri Muir

Signature Date: March 21, 2005

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

Enclosed with this statement are the following:

Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.

The present application is being/was filed after June 30, 2003. In accordance with the 1.98 gazette waiver of 37 **CFR** posted pre-official (a)(2)(i)pac/dapp/opla/preognotice/idswouscopies.htm, copies of cited U.S. patents publications are not enclosed. However, copies of cited foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98(a)(2), as still required, except for those items designated by an asterisk (*), which were previously submitted by the applicant in a parent application, from which benefit under 35 U.S.C. §120 is claimed, with an Information Disclosure Statement submitted in the parent application which complies with the September 8, 2000 or subsequent revision of 37 C.F.R. §1.98(ac), as allowed under 37 C.F.R. §1.98(d)(1).

The present application was filed prior to June 30, 2003. A copy of each cited document as required by 37 C.F.R. §1.98 is enclosed, except for those items designated by an asterisk (*), which were previously submitted by the applicant in a parent application, from which benefit under 35 U.S.C. §120 is claimed, with an *Information Disclosure Statement* submitted in the parent application which complies with the September 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c), as allowed under 37 C.F.R. §1.98(d)(1). If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3). PTA Statement under 37 C.F.R. §1.704(d). Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement. This statement should be considered because: 37 C.F.R. §1.97(b). This statement qualifies under 37 C.F.R. §1.97, subsection (b) because: It is being filed within three months of the filing date of an application **(1)** other than a continued prosecution application under § 1.53(d); -- OR --It is being filed within 3 months of entry of a national stage; (2) It is being filed before the mailing date of the first Office Action on the (3) merits. -- OR --It is being filed before the mailing date of the first Office Action after the (4) filing of a Request for Continued Examination under 37 C.F.R. §1.114. 37 C.F.R. §1.97(c). Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because: It is being filed before the mailing date of a FINAL Office Action, a (1) Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first. -- AND (check at least one of the following) --It is accompanied by a STATEMENT as set forth in 37 C.F.R. (1) §1.97(e). -- OR --It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p). (2) 37 C.F.R. §1.97(d). Although it may not qualify under subsection (b) or (c), this

statement qualifies under 37 C.F.R. §1.97, subsection (d) because:

- (1) It is being filed on or before payment of the Issue Fee; -- AND --
 - (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e); -- AND --
 - (3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).
- Fee Authorization. The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

FLIESLER MEYER LLP

Date: March 21, 2005

By: Joseph P. O'Malley Reg. No. 36,226

FLIESLER MEYER LLP Four Embarcadero Center, Fourth Floor San Francisco, California 94111-4156 Telephone (415) 362-3800

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Information Disclosure Statement BY APPLICANT

(Use several sheets if necessary)

Attorney Docket Number

BEAS-01391US1 10/784,376

Applicant/Patent Owner
Sundararaian et al

Sundararajan et al.
Filing/Issue Date

February 23, 2004

Group Art Unit 8926

U.S. PATENTS

Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
	5,862,327	01/19/99	Kwang et al.	395	200	06/10/96
	5,950,010	09/07/99	Hesse et al.	395	712	11/25/96
	6,023,722	02/08/00	Colyer	709	201	07/23/97
	6,067,548	05/23/00	Cheng	707	103	07/16/98
	6,141,686	10/31/00	Jackowski et al.	709	224	06/23/98
	6,222,533	04/24/01	Notani et al.	345	329	08/25/97
	6,230,309	05/08/01	Turner et al.	717	1	04/25/97
	6,237,135	05/22/01	Timbol	717	1	09/11/98
	6,282,711	08/28/01	Halpern et al.	717	11	08/10/99
	6,324,681	11/27/01	Sebesta et al.	717	1	10/01/98
	6,349,408	02/19/02	Smith	171	11	06/30/98
	6,393,605	05/21/02	Loomans	717	121	11/17/99
	6,549,949	04/15/03	Bowman-Amuah	709	236	08/31/99

U.S. PATENT PUBLICATIONS

Examiner Initial	Patent Application Publication Number	Publication Date	Applicant	
	2002/0073080 A1	06/13/02	Lipkin	
	2003/0046266 A1	03/06/03	Mullins et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Publication Date	Country	Class	Subclass	lat	ans- tion No
	WO 01/90884 A2	29/Nov/01	Europe	G06F	9/00	X	

OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)

Mariucci et al., "Enterprise Application Server Development Environments"; University of Stuttgart; 10 th October
2000; last update; pp: 1-30.
IPlanet, e-commerce solutions; "iPlanet Application Server 6.0 White Paper", technical reference guide; May 25,
2000: np:1-104

Roman et al., "The Technical Benefits of EJB and J2EE Technologies over COM+ and Windows DNA", December 1999, Prepared for Sun Microsystems, Inc. © 1999 The Middleware Company; pp: 1-24.

•	HP Application Server; Technical Guide Version 8.0; Hewlett-Packard Company; © Copyright 1999-2001; pp: 1-234.
	Deuvo et al., "An Infrastructure for the Dynamic Distribution of Web Applications and Services"; Department of Computer Science, Boston University, December 2000; BUCS-TR-2000-027; pp. 1-22.
<u>Examiner</u>	<u>Date Considered</u>
<pre>conformance and *1 = Copy not su</pre>	Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in d not considered. Include copy of this form with next communication to applicant. Submitted because it was submitted in prior application SN /, filed, 20, relied on under 35 USC §120. ubmitted because it was submitted in prior application SN _/, filed, 20, relied on under 35 USC §120.